

REMARKS

Claim 1 has been cancelled and claims 2-6 have been added. No new matter has been entered.

The specification has also been amended to add the requested section headings and to provide minor corrections to spelling and grammar. A substitute specification with the proposed changes is attached hereto along with a marked-up copy of the published application indicating the changes. No new matter has been added by these changes.

Claim 1 stands rejected under 35 U.S.C. §101 as allegedly failing to recite “technology” in a non-trivial manner. Applicant is aware of no statutory or case law support for this rejection (*Ex parte Bowman* is not binding precedent and the other cases cited in the Official Action, including *In re Toma*, simply do not stand for the proposition that “technology” must be recited in the body of the claim in a non-trivial manner) but note that this rejection is moot due to the cancellation of claim 1. New claims 2-6 are believed to fall within the statutory classes of invention set forth in 35 U.S.C. §101 and to produce a “useful, concrete, and tangible result” as set forth in *State Street v. Signature Financial Group, Inc.*, 47 USPQ.2d 1596 (Fed. Cir. 1998). Withdrawal of the rejection of claim 1 under 35 U.S.C. §101 is solicited.

Claim 1 stands further rejected under 35 U.S.C. §103(a) as allegedly being obvious over Agrawal et al. (US 6,308,172) in view of Ricciardi (US 2002/0059126). Applicant has canceled claim 1, thereby obviating this rejection. Withdrawal of the rejection of claim 1 over Agrawal et al. and Ricciardi is thus solicited.

New claims 2- are believed to clearly distinguish over the teachings of Agrawal et al. and Ricciardi. The Examiner is asked to note that neither Agrawal et al. nor Ricciardi teaches “extracting information from news media relating to a particular publicly traded company to create a template including natural language text describing activities or announcements of said particular publicly traded company” and “relating changes in stock price of said particular publicly traded company to information stored in said template about said particular publicly traded company” as now claimed. Moreover, neither Agrawal et al. nor Ricciardi teaches “determining a statistical significance of said changes in stock price of said particular publicly traded company based on said information” and “predicting changes in

DOCKET NO.: REFH-0153
Application No.: 10/054,057
Office Action Dated: February 23, 2005

PATENT

price of the stock of said particular publicly traded company based on new information about said particular publicly traded company if information of the type included in the new information has in the past caused a statistically significant change in the stock price in said particular publicly traded company.” Since the claimed features are nowhere taught by Agrawal et al. or Ricciardi, even if the teachings of these references could be combined as the Examiner suggests, the present invention would not result. Accordingly, allowance of claims 2-6 over Agrawal et al. and Ricciardi is thus believed to be proper and is respectfully solicited.

In view of the above, the present application is believed to be in condition for allowance and a notice to that effect is solicited.

Date: May 23, 2005



Michael P. Dunnam
Registration No. 32,611

Woodcock Washburn LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439